

Deoptless: Speculation with Dispatched On-Stack Replacement and Specialized Continuations

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Abstract

Just-in-time compilation provides significant performance improvements for programs written in dynamic languages. These benefits come from the ability of the compiler to speculate about likely cases and generate optimized code for these. Unavoidably, speculations sometimes fail and the optimizations must be reverted. In some pathological cases, this can leave the program stuck with suboptimal code. In this paper we propose *deoptless*, a technique that replaces deoptimization points with dispatched specialized continuations. The goal of *deoptless* is to take a step towards providing users with a more transparent performance model in which mysterious slowdowns are less frequent and grave.

1 Introduction

At the heart of many high-performance just-in-time compilation strategies lies the ability to replace code while it is being executed. A typical two-tier architecture has an interpreter for quick startup, and a compiler for peak performance. In this architecture, when an implementation needs to tier-up as it is evaluating a long-running function, one should not need to wait for the interpreter to complete, but rather switch immediately to a natively compiled version [17]. Or, when speculative compilation is found to be wrong, execution must not continue and the current code must be replaced with a correct version [16]. In yet another example, the compilation of unlikely code paths can be deferred [6].

The common theme is replacing code with currently active stack frames, hence the name *on-stack replacement* (OSR). This may refer to pieces of code that have the same format, e.g., replacing native code with native code at a different optimization level, or a completely different format, e.g., switching from native code to interpreted code. From a distance, OSR can be described as a mechanism for suspending execution of a function, rewriting its state, and resuming execution in a different version of that function. What makes OSR challenging is the low-level interaction with execution states and the need to establish a mapping between versions of a function. For example, consider a function in which some variable was constant-folded away. In order to transfer control to a version of the same function in which this

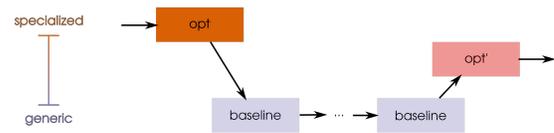


Figure 1. Deoptimization: OSR-out, profile, recompile

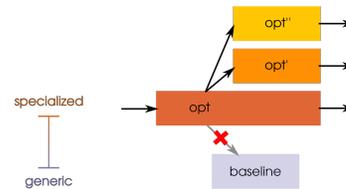


Figure 2. Deoptless: dispatched OSR to specialization

optimization was not applied, one must first establish a correspondence between program counters, then reconstruct the value of the variable, and store it at the expected position among the function’s locals.

Speculative compilation is characterized by code compiled under assumptions. Any of these assumptions may prove to be false at runtime, and thus the compiler inserts guards which trigger OSR to avoid executing miscompiled code. This situation is often informally referred to as *deoptimization* or as OSR-out (as OSR is used to exit code). To illustrate, consider a function that operates on a list of numbers. At run-time, the system observes the type of the values stored in the list. After a number of calls, if the compiler determines that the list holds only integers, it will speculate that this will remain true and generate code optimized for integer arithmetic. If, at some later point, floating point numbers appear instead, a deoptimization will be triggered. As shown in Figure 1, OSR-out makes it possible to swap the optimized code in-place with the baseline version of the function. In subsequent calls to the function the compiler refines its profiling information to indicate that the function can operate on lists of integers and floating point numbers. Eventually, the function will be recompiled to a new version that is slightly

more general. That version will not need to deoptimize for floating point values, but likely will not be as efficient as the previously optimized one.

Speculative compilation can cause hard to predict performance pathologies. Failed speculations lead to two kinds of issues. First, deoptimization causes execution to suddenly slow down as the new code being executed does not benefit from the same level of optimization as before. Second, to avoid repeated deoptimizations, the program eventually converges to code that is more generic, *i.e.*, that can handle the common denominator of all observed executions. From a user’s point of view, the program speeds up again, but it does not regain its previous performance.

In this paper we present *deoptless*, a strategy for avoiding deoptimization to a slower tier. The idea is to handle failing assumptions with an optimized-to-optimized transfer of control. At each deoptimization point, the compiler maintains multiple optimized continuations, each specialized under different assumptions. When OSR is triggered, a continuation that best fits the current state of execution is selected. The function that triggered OSR is also not retired with *deoptless* (as would occur in the normal case), rather it is retained in the hope that it can be used again.

Figure 2 illustrates what happens when speculation fails with *deoptless*. Instead of going to the baseline, the compiler generates code for the continuation, and execution continues there. This can result in orders-of-magnitude faster recovery from failed speculation. Furthermore, *deoptless* not only avoids tiering down, it also gives the compiler an opportunity to generate code that is specific to the current execution context. As we later demonstrate, this can significantly increase the peak performance of generated code. For instance, if an assumption fails, as above, because a list holds floating point numbers rather than integers, then the continuation can be specialized to handle floats. In subsequent executions, if the same OSR point is reached, the continuation to invoke will be selected by using *context dispatch* [12]. If no previously compiled continuation matches the execution context, then a new one will be compiled. Of course, the number of continuations is bounded, and when that bound is reached *deoptless* will deoptimize.

The contribution of this paper is the description of *deoptless* and an evaluation in the context of \check{R} , a just-in-time compiler for the R language. We start with a background on OSR in Section 2. This is followed by a description of the *deoptless* compilation strategy in Section 3, and details of our prototype implementation in Section 4. The performance evaluation described in Section 5 shows how much faster *deoptless* can handle failing assumptions on average and some of the potential performance gains.

One major limitation, which we are upfront about, is that our performance evaluation is limited to synthetic benchmarks. \check{R} is a research compiler, and while it is able to run all R programs, for most real-world applications it still has

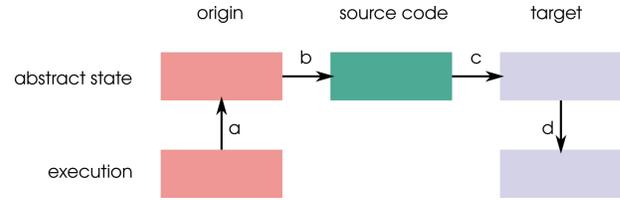


Figure 3. Parts of an OSR event

a ways to go. Moreover, in programs where \check{R} shows good performance, typically few deoptimizations happen. The drawback of this state of affairs is that we are not able to quantify how often the performance pathologies we are targeting occur in practice. It is our belief that they will occur and that they have the potential to be significant.

\check{R} as well as the presented contributions are freely available at r-vm.net and archived as an artifact to reproduce the experimental section [13].

2 Background: On-Stack Replacement

On-stack replacement (OSR) refers to an exceptional transfer of control between two versions of a function. It is employed by just-in-time compilers in situations where a function can or has to be replaced at once, without waiting for it to exit normally. To the user, this exchange is not observable, the new function transparently picks up where the old one stopped. *On-stack* refers to the fact that the involved functions have active stack frames that need to be rewritten.

Definitions and Models. We call functions that should be exited *origins* and their replacements *targets*. Each function has an *execution state*, or *stack frame*, that is dependent on the code format but typically consists of at least the position in the code and the values of local variables. The format of origin and target can be vastly different if, for instance, one of them is interpreted and the other runs natively. A *mapping* between states captures the steps needed to rewrite origin states to target states. Since both origin and target are derived from the same source code, we sometimes use the term *source* to refer to the common ancestry of various compiled code fragments. Figure 3 shows an idealized OSR that (a) extracts the state of the origin, (b) maps it to the source, (c) maps it to the target, and finally (d) materializes the target state. Origin and target do not need to be constrained to a single stack frame and a single function. For example when exiting an inlined function, one origin function maps to multiple target functions. In other words, the stack frame of the origin needs to be split into multiple target stack frames.

In practice, many implementations follow a simplified design combining (b) and (c) into one mapping that translates directly from one state to another. This works because the compiler of one end of the OSR uses the code of the other end of the OSR as source code, rather than the actual source, *e.g.*,

typically the bytecode is the source code for the optimizing native compiler:

$$\text{source} \rightarrow BC \rightarrow \text{native}$$

In this architecture, there is only one compiler and one compilation direction between the two ends of the OSR, therefore the mapping takes just one step.

On the other hand, in the case where both ends of the OSR are compiled from some common source code, the mapping of execution states has two steps. The first compilation defines a mapping that lifts the state from an origin state to a source state, the second compilation a mapping that lowers it to a target state. Therefore, the generic model is important in cases where OSR transitions from optimized to optimized code. This was also noted by Wimmer et al. [31], who describe it as “a two-way matching of two scope descriptors describing the same abstract frame.” The one-step architecture is further simplified in optimizers with identical source and target language, in which case the states on both ends of OSR have the same representation [3, 4, 29].

If OSR jumps from optimized to unoptimized code, we call it *OSR-out*; or *deoptimization*, when it is used to bail out of failing speculative optimizations. If it jumps from unoptimized to optimized code, we call it *OSR-in* or *tiering up*. This is useful, for instance, when the program is stuck in a long-running loop. In the general case where it jumps from optimized to optimized code, both apply and we simply call it OSR. Typically OSR cannot happen at arbitrary locations; we call the possible locations *OSR exit* or *OSR entry* points. Flückiger et al. [15] showed that placing OSR exits after every observable effect allows for OSR exits at arbitrary locations modulo code-motion.

Speculative Optimizations. Consider, as a simple example, using OSR to undo constant-folding to support debugging. When the debugger is attached to the program, execution is paused and the program counter is moved from the current optimized function to the equivalent location in a version of the same function without the constant-folding applied. Any variables removed by constant-folding are recreated from metadata. OSR is general as it allows undoing arbitrary transformations. When OSR is used to transition between different optimization levels, it must be transparent, *i.e.*, OSR becomes part of the correctness argument for optimizations. In turn, OSR enables compiler transformations that would otherwise be unsound. For instance, it allows the compiler to speculate on *likely* behaviors of the program, such as the dynamic type of a variable. The speculation has to be guarded by a test and then the compiler can rely on OSR, in case the speculation fails, to go back to the unoptimized function. In other words, the unlikely cases can be completely ignored by the optimizer and relegated to this generic fall-back.

Difficulties. OSR has been described as black magic due to the non-conventional control-flow that it introduces. A significant part of the complexity comes from the fact that most implementations do not provide clean abstractions for OSR. For example, extracting and rewriting the program state, *i.e.*, steps (a) and (b) in Figure 3, are often not separated cleanly. Both of these two steps provide challenges, but for different reasons. Extracting the program state is challenging due to low-level concerns. We need very fine grained access to the internal state of the computation at the OSR points. This access has to be provided by the backend of our compiler, *e.g.*, by exposing how the execution state is mapped to the hardware or the interpreter. On the other hand, mapping the extracted program state to a target state relies on the optimization providing the required information.

From the optimizer’s point of view, the challenge presented by OSR is that the mapping information must be preserved during transformations. Every transformation that is applied has to amass enough meta-data for the state mapping to be well defined at every OSR point. One approach to keeping the mapping valid is to represent it by metadata or pseudo instructions inside the instruction stream [9]. For instance, the compiler used in this work inserts so-called `Framestate` instructions in an early translation phase. These instructions capture the values on the operand stack, local variables, and the program counter. They are the description of the execution state needed for the mapping. While optimizing, the compiler keeps the frame states updated. Another instruction, `Checkpoint`, acts as an anchor for the frame states, and describes potential OSR exit points. The compiler emits them after each effect to allow for exits at most locations in the instruction stream.

An important challenge for speculation next to correctness is the hard to predict performance. While it is folklore, few published works investigate the resulting instabilities and their mitigation. Barrett et al. [2] found a surprising amount of unexpected behavior, such as performance degrading over time, or not stabilizing at all, in production VMs. These results hint at the difficult trade-off of deciding when to optimize. Late optimizations suffer a slow warm up, or the program even finishes before the optimizer kicks in; eager optimizations risk mis-speculation. For instance Meurer [20] notes that deoptimization from mis-speculation in V8 can hurt the performance especially early during page load. Zheng et al. [32] found that Graal sometimes should keep optimized code despite deoptimization events. In other words, optimized code that is correct most of the time can be faster than more generic code that is always correct.

Simplified OSR-in. Whereas OSR-out relies on the ability to extract the source execution state at many locations, OSR-in is simpler. While one could arrange for OSR-in to enter optimized code in the middle of a function, these entry points would limit optimizations and would not be easy to

implement if using an off-the-shelf code generator such as LLVM (see Lameed and Hendren [19] for such an approach). Instead, one can compile a continuation starting from the current program location to the end of the current function. This continuation is executed once and on the next invocation the function is compiled a second time from the beginning of the function. This approach simplifies the mapping of execution states, as there is only one concrete state that needs to be mapped instead of multiple abstract states at every potential entry point. The current state is simply passed as an argument to the continuation. This is a popular implementation choice following Fink and Qian [10].

Implementation Choices for OSR-out. The lowest overhead to peak performance for OSR exit points is achieved by extracting the execution state by an external mechanism. Typically at a defined location execution is conditionally stopped and control transferred to an OSR-out implementation, e.g., by tail-calling it. The OSR-out implementation then uses the compiler’s meta-data to extract the run-time state from the registers and the run-time stack. A simpler alternative implementation is to pass all the required state as arguments to the OSR-out function. This approach generates more code, as the state extraction is effectively embedded into the native code. It would be interesting to investigate the performance and memory-overhead trade-off for using specialized code instead of meta-data for deoptimization [8].

2.1 A Short and Partial History of OSR

OSR for deoptimization was pioneered in SELF by Hölzle et al. [16]. At first, the idea was simply to deoptimize code to provide a source-level debugging experience. In that sense, it was a speculative optimization on the assumption that debugging is not used. Soon the idea was applied to speculatively optimize for all kinds of assumptions, from the stability of class hierarchies [23] to unlikely behavior in general [5], and providing more and more flexibility to the optimizer in the presence of deoptimization [26]. We are reaching the point where deoptimization is an off-the-shelf technique [18, 19] that more and more compilers are relying on for diverse purposes [1, 8, 22, 24, 25, 27]. The common idea is that deoptimization leads the control-flow back to less optimized code. Deoptless provides an alternative option where we split at deoptimization points and the specialization is instead increased.

OSR-in was first described by Hölzle and Ungar [17] in their recompilation strategy. When a very small function is invoked often, they rather recompile the caller and replace it using OSR-in. SELF, being an interactive system, was concerned with compilation pauses. Especially given splitting-based optimizations that could lead to an explosion of code size. Chambers and Ungar [6] address this issue by identifying uncommon source-level control-flows and deferring their compilation. Suganuma et al. [28] describe the

```
sum <- function() {
  total <- 0
  for (i in 1:length) total <- total + data[[i]]
  total
}
```

Listing 1. Summing vectors

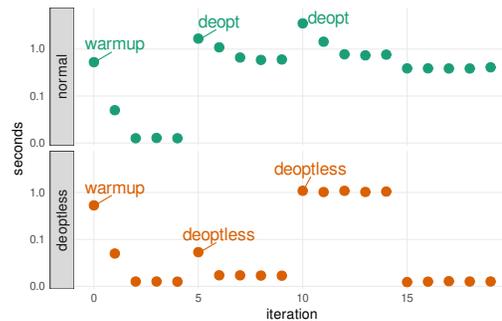


Figure 4. Performance comparison (log scale)

natural extension of this idea where the deferred compilation is implemented by means of OSR. The Jikes RVM extensively relied on OSR-in for profile-driven deferred compilation as described by Fink and Qian [10]. Deferred compilation can be understood as a speculative optimization that assumes an unlikely source-level branch is not taken.

The combination of OSR-out and OSR-in was explored by Wimmer et al. [31] to have an optimizing compiler act as the baseline compiler. The difference with our approach is that an OSR-out still ends in a less optimized version of the code. To the best of our knowledge, no other work employs polymorphic OSR-out.

3 Deoptless

Deoptless is a compilation strategy that explores the idea of having a polymorphic OSR-out as a backup for failed speculation, while retaining the version of the function that triggered deoptimization. Consider the `sum` function of Listing 1 that naively adds up all the elements in the `data` vector. Assume the function is called in situations where the values of data change from float to integer to complex numbers and back to float. As a preview, we run this code in our implementation. Figure 4 shows both normal executions and executions with deoptless. We see the warmup time spent in the interpreter and compilation to faster native code in the first phase with 5 iterations. Each of the following 3 phases (also with 5 iterations each) correspond to a different type of data vector. In the normal environment each change of the dynamic type results in deoptimization, followed by slower execution. In deoptless, there is a slowdown in the first iteration, as the continuation must be compiled, then code is fast again. Complex numbers are slow in both versions as their behavior is more involved. Finally, when the function

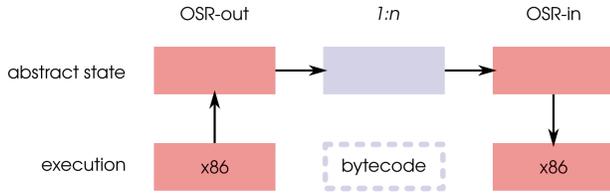


Figure 5. Deoptless combines OSR-out with OSR-in

deals with floats again, deoptless is as fast as the first time, whereas the original version is stuck with slow code. We show this example here to motivate the technique and give an intuition for our goals and the expected gains. This graph effectively illustrates many of the trade-offs with deoptless that we are aware of, and we’ll discuss it again in detail at the end of the section.

3.1 Approach

Conceptually, deoptless performs OSR-out and OSR-in in one step, to achieve optimized-to-optimized and native-to-native handling of failing speculation. As can be seen in Figure 5 this is realized by following an OSR-out immediately with an OSR-in. By performing this transition directly, it is possible to never leave optimized code. For deoptless, the OSR-in must be implemented by compiling an optimized continuation, specifically for that particular OSR exit point. The key idea is that we can compile multiple specialized continuations, depending on the failing speculation — and in general, depending on the current state of the execution. The continuations are placed in a dispatch table to be reused in future deoptimizations with compatible execution states.

We effectively turn deoptimization points into assumption-polymorphic dispatch sites for optimized continuations. If the same deoptimization exit point is taken for different reasons, then, depending on the reason, differently specialized continuations are invoked. Going back to Listing 1, the failing assumption is a typecheck. Given earlier runs, the compiler speculates that `data` is a vector of floats. This assumption allows us to access the vector as efficiently as a native array. Additionally, based on that assumption, the `total` variable is inferred to be a float scalar value and can be unboxed. When the variable becomes an integer, this speculation fails. Normally we would deoptimize the function and continue in the most generic version, e.g., in the baseline interpreter. Deoptless allows us to split out an alternate universe where we speculate differently and jump to a continuation optimized for that case.

Dispatching. We keep all deoptless continuations of a function in a common dispatch table. At a minimum the continuation we want to invoke has to be compiled for the same target program location. But we can go further and use the current program state, that we extracted from the origin function for OSR, to have many specialized continuations

for the same exit. In order to deduce which continuations are compatible with the current program state we employ a context dispatching mechanism. In this framework, code is optimized under a context of assumptions. Such an optimization context C is a predicate over the program state with an efficiently computable partial order $C_1 < C_2$ iff $C_1 \Rightarrow C_2$. A context is called current with respect to a state S if $C(S)$ holds. To choose a continuation, we take the current state at the OSR exit point, we compute a current context C for it, and then select a continuation compiled for a context C' , such that $C < C'$. If there is no such continuation available, or we find the available ones to be too generic given the current context, we can choose to compile a new continuation and add it to the dispatch table.

In our implementation we add an abstract description of the deoptimization reason, such as "typecheck failed, actual type was an integer vector", to the context. Our source states are expressed in terms of the state of the bytecode interpreter. Therefore, the deoptimization context additionally contains the program counter of the deoptimization point, the names and types of local variables, and the types of the variables on the bytecode stack. As mentioned, contexts are partially ordered. Our contexts are only comparable if they have the same deoptimization target, the same names of local variables, the same number of values on the operand stack, and a compatible deoptimization reason. This means, for instance, that a deoptimization on a failing typecheck is not comparable with a deoptimization on a failing dynamic inlining, and thus we can’t reuse the respective continuation. Or, if there is an additional local variable that does not exist in the continuation context. Comparable contexts are then sorted by the degree of specialization. For instance, they are ordered by the subtype relation of the types of variables and operands. If the continuation is compiled for a state where `sum` is a number, then it can for example be called when the variable `sum` holds an integer or a floating-point number. Or, if we have a continuation for a typecheck, where we observed a float vector instead of some other type, then this continuation will be compatible when we observe a scalar float instead, as in R scalars are just vectors of length one.

Dispatching is based on the execution states of the source code of the optimizer, e.g., in our case states of a bytecode interpreter. This does not mean that deoptless requires these states to be materialized. For instance when dispatching on the type of values that would be on the operand stack of the interpreter at the deoptimization point, they are not actually pushed on the stack. Instead their type is tested where they currently are in the native state.

3.2 Discussion

Deoptless does not add much additional complexity over OSR-out and OSR-in to an implementation. There are some considerations that will be discussed when we present our prototype in the next section. Most prominently, OSR-out

needs to be more efficient than when it is used only for deoptimization, because we expect to trigger OSR more frequently when dispatching to optimized continuations. Currently our proof-of-concept implementation is limited to handle deoptimizations where the origin and target have one stack frame, *i.e.*, we do not handle inlined functions. This is not a limitation of the technique, but rather follows from the fact that also the OSR-in implementation currently has the same limitation. We can therefore not answer how well deoptless would perform in the case of inlined functions.

There are also a number of particular trade-offs, which are already visible in the simple example in Figure 4. Going through the four phases of the example, we can observe the following. In the first phase both implementations warm up equally fast. There is no difference, as there is also no deoptimization event up to this point. In the second phase, when the type changes to float, the normal implementation triggers a deoptimization, we fall back to the interpreter and it takes some time for the code to be recompiled. This replacement code is more generic as it can handle floats and integers at the same time and it is much slower than the float-only case. The effect is inflated here due to the fact that our particular compiler supports unboxing only if the types are static. This can be seen in the first phase of the deoptless variant, where a specialized continuation for floats is compiled and executed very efficiently. We see a small overhead over the integer case, that is due to the dispatch overhead of deoptless. Next, in the third phase, yet another specialized continuation is compiled, this time for the `data` vector being a generic R object. While we avoid going back to the interpreter yet again, this continuation is slower at peak performance than the generic version from the normal execution. This is not a fundamental limitation, but does exemplify a difficulty with deoptless that we will get back to: deoptless operates on partial type-feedback from the lower tier. Because the remainder of the `sum` function has never been executed with the new type, we cannot fully trust the type-feedback when compiling the continuation, as it is likely stale to some extent. We address the problem with a selective type-feedback cleanup and inference pass, which can, as in this case, lead to less optimal code. In the final phase of the benchmark deoptless greatly outperforms the normal implementation. That is because in deoptless we are running the same code again as in the first phase, as this code was never discarded. On the other hand in the normal case we replaced the `sum` function in-place and it is now much more generic and slow.

4 Implementing Deoptless in the \check{R} JIT

In this section we detail our efforts to introduce deoptless to \check{R} , an optimizing just-in-time compiler for the R language. \check{R} features a two-and-half-tier optimization strategy, with the two traditional tiers, a bytecode interpreter and a native

optimizing compiler. Additionally, it falls back to the AST interpreter from GNU R, as R is a language with a fairly large number of features, some of which are not yet supported.

\check{R} has an existing OSR-out implementation to transition from native code to the interpreter in case of mis-speculation. \check{R} uses assumptions about the stability of call targets, the declared local variables of closures, uncommon branches, primitive types, and loops over integer sequences to speculatively optimize code. Speculation is an inherent feature of its intermediate representation (IR). It is expressed by `Assume` instructions which function similarly to `asserts`, the difference being that failing assumptions are silently handled by deoptimization. The conditions guarded by `Assume` instructions are used by various optimizer passes.

\check{R} also features a recent OSR-in implementation, a direct side-product of our work to implement deoptless. It can be used to tier-up from the interpreter to optimized code, and is triggered in long-running loops. Supporting OSR-in adds little complexity to the compiler. The relevant patch adds 300 and changes 600 lines of code. Mainly, the bytecode to IR translation has to support starting at an offset, and the current values on the interpreter’s operand stack need to be passed into the optimized continuation.

Deoptless can be easily implemented on top of an existing implementation of OSR-out and -in. The patch adds 600 and changes 300 lines of code. Compilation is straightforward using the OSR-in implementation. The additional complexity stems from defining optimization contexts suited for deoptless, and then context dispatching over these contexts. Finally, a new type-feedback inference and cleanup pass is required. In the original case, the interpreter collects new run-time feedback after a deoptimization and before code is reoptimized. With deoptless, we try to recompile right after a failing assumption, not having a chance to capture later, secondary changes to the program state that we need to update our assumptions about the code. The feedback inference pass tries to remove profile data which is likely invalid after the failing assumption, and infer new feedback from the remaining data. Before describing our deoptless implementation in detail, we present the implementation of OSR-out and -in.

4.1 OSR-out

In the intermediate representation of the compiler, OSR exit points are represented by `Checkpoints`. A checkpoint instruction is the anchor that keeps OSR origin and target code in sync. Each one belongs to a description of the target execution state, represented by a `Framestate` instruction.

Of particular importance is the `Assume` instruction, which represents a run-time assumption made by the compiler to be used for optimizations. An `Assume` refers to a `Checkpoint` that can be used if its guard fails. An example can be seen in Listing 2. In R, the local variable scope is a first-class object called the *environment* — we refer to Flückiger et al. [11] for

```

%c = Checkpoint D1
...
%d = LdVar(data, GlobalEnv)
%t = IsType[real](%d)
    Assume(%t, %c)
...
D1:
%e = MkEnv(sum=0)
%f = Framestate(%e, pc=15)
    Deopt(%f)

```

Listing 2. OSR exit point in \tilde{R}

```

    br %isType, cont, osr
osr:
    ...
%f = alloca FrameState
%r = alloca Reason
    ; store current function,
    ; frame contents, and more
    ; metadata into %f and %r
%a = tail call void @deopt(%f, %r)
    ret %a
cont:

```

Listing 3. OSR exit from Listing 2 in LLVM

a detailed explanation — and it has to be materialized on deoptimization. The deferred instructions at label `D1` represent the materialization of the environment and describe the `Framestate` required to exit from this `Checkpoint`. The frame describes an \tilde{R} bytecode execution context at program counter location 15 with an `R` environment where `sum` is bound to `0`. In general, `Framestate` instructions can be chained to describe the states of multiple inlined functions. The basic block `D1` can contain arbitrary deferred instructions that are executed only upon deoptimization. This is frequently used to defer computations which are not needed in the optimized code. As an example, `R` has a call-by-need semantics, and function arguments are passed as thunks, so-called promises. After inlining, the actual structure to hold the delayed computation typically has to be created only on deoptimization, and the instructions for creating promises can be delayed into deoptimization branches.

In \tilde{R} , OSR exits are not performed by externally rewriting stack frames. Instead, an OSR exit point is realized as a function call. Let us consider the OSR exit point in [Listing 2](#). The backend of the \tilde{R} compiler generates code using LLVM. As can be seen in [Listing 3](#), the `Assume` is lowered to a conditional branch and the OSR exit is lowered to a tail-call. The `osr` block executes all the deferred instructions, notably it populates buffers for the local variables captured by the framestate and the deoptimization reason. Finally, a `deopt` function is called. This primitive performs the actual deoptimization, *i.e.*, it invokes the interpreter, or, in the case of `deoptless`, dispatches to an optimized continuation.

```

Value deopt(FrameState* fs, Reason* r) {
    logDeoptimization(r);
    pushInterpreterState(fs);
    if (fs->next)
        push(deopt(fs->next, r));
    return interpret(fs->pc, fs->env);
}

```

Listing 4. Pseudocode for deoptimization implementation

The `deopt` primitive is able to recreate multiple interpreter contexts as we can see in the pseudocode in [Listing 4](#). First, the outer interpreter context is synthesized, *i.e.*, the necessary values pushed to the interpreter’s operand stack. Then, the inner frames are recursively evaluated, their results also pushed to the operand stack, as expected by the outer frame. Finally, the outermost code is executed, and the result returned to the deoptimized native code, which directly returns it to its caller.

The `osr` basic block in [Listing 2](#), as well as the `deopt` call, are marked *cold* in LLVM. This should cause LLVM optimization passes and code generation to layout the function in such a way that the impact of the `osr` code on the performance of the rest of the function is minimal. However, the mere presence of the additional branch might interfere with LLVM optimizations, and other OSR implementers therefore chose to use the LLVM `statepoint` primitive. The `statepoint` API provides access to metadata describing the stack layout of the generated function. This stack layout allows an external deoptimization mechanism to read out the local state without explicitly capturing it in LLVM code. This is a trade-off, and the impact is in our opinion limited. For example, in the concrete case of [Listing 1](#), we were not able to measure any effect on peak performance. In fact, when we unsoundly dropped all deoptimization exit points in the backend, the performance was unchanged. There was, however, an effect on code size with an overhead of 30% more LLVM instructions. The implementation strategy of using explicit calls to `deopt` for \tilde{R} was chosen for ease of implementation long before `deoptless` was added. In a lucky coincidence, this strategy is very efficient in extracting the internal state of optimized code compared to an external deoptimization mechanism, and therefore very well suited for `deoptless`.

4.2 OSR-in

Together with `deoptless`, a new OSR-in mechanism was introduced in \tilde{R} , since the codebase can be mostly shared. OSR-in allows for a transition from long-running loops in the bytecode interpreter to native code. To that end, a special continuation function is compiled, starting from the current bytecode, which is used only once for the OSR-in. The full function is compiled again from the beginning the next time it is called. This avoids the overhead of introducing multiple entry-points into optimized code, for the price of compiling

```

case Opcode::branch: {
  auto offset = readImmediate();
  if (offset < 0 && OSRCondition()) {
    if (auto fun = OSRCompile(pc, ...)) {
      auto res = fun(...);
      clearStack();
      return res;
    }
  }
  ...
}

```

Listing 5. Pseudocode for OSR-in implementation

these functions twice. Since OSR-in is not a very frequent event, the trade-off is reasonable.

The mechanism is triggered by counting the number of backward jumps in the interpreter. When a certain number of loop iterations is reached, the remainder of the function is compiled using the same compiler infrastructure that is used to compile whole functions. The only difference is that we choose the current program counter value as an entry point for the translation from bytecode to IR. Additionally, we pre-seed the abstract stack used by the frontend of the \tilde{R} compiler with all values on the interpreter’s operand stack. In other words, the resulting native code will receive the current contents of the operand stack as call arguments. OSR adds the lines, shown in Listing 5, to the implementation of the branch bytecode.

An interesting anecdote from adding OSR-in to \tilde{R} is that out of all the optimization passes of the normal optimizer, only dead-store elimination was unsound for OSR-in continuations. The reason is that objects can already escape before the OSR continuation begins, and thus escape analysis would mistakenly mark them as local.

4.3 Deoptless

Our implementation underscores the point that adding deoptless to a VM with an existing implementation of OSR-in and OSR-out requires only minimal changes. Starting with the code in Listing 4, we extend it as shown in Listing 6. In this listing we see five functions that we’ll detail to explain the implementation. `deoptlessCondition` decides if deoptless should be attempted. Certain kinds of deoptimizations do not make sense to be handled, and also our proof of concept implementation has limitations and is not able to handle all deoptimizations. Then, `computeCtx` computes the current optimization context and `dispatch` tries to find an existing continuation that is compatible with the current context. `recompile` is our recompilation heuristic that decides if a continuation, while matching, is not good enough. Next, the `deoptlessCompile` function invokes the compiler to compile a new deoptless continuation. Finally, we call the compiled continuation, directly passing the current state. The calling convention is slightly different from normal OSR-in. As we

```

Value deopt(FrameState* fs, Reason* r) {
  if (deoptlessCondition(fs, r)) {
    auto ctx = computeCtx(fs, r);
    auto fun = fs->fun->deoptless->dispatch(ctx);
    if (!fun || recompile(fun, ctx))
      fun = deoptlessCompile(ctx);
    if (fun)
      return fun(fs);
  }
  // Rest same as normal deopt
}

```

Listing 6. Pseudocode for deoptless implementation

are originating from native code the values can have native representations, whereas if we originate from the interpreter all values are boxed heap objects.

Conditions and Limitations. As mentioned, deoptless is not applied to all deoptimization events. First of all, some deoptimizations are rather catastrophic for the compiler and prevent most optimizations. An example would be an R environment (the dynamic representation of variable scopes) that leaked and was non-locally modified. Under these circumstances the \tilde{R} optimizer cannot realistically optimize the code anymore. Second, when global assumptions change, e.g., a library function is redefined, we must assume that the original code is permanently invalid and should actually be discarded. Furthermore, we also prevent recursive deoptless. If a deoptless continuation triggers a failing speculation, then we give up and perform an actual deoptimization. There are also some cases which are not handled by our proof of concept implementation. The biggest limitation is that we do not handle cases where more than one framestate exists, i.e., we exclude deoptimizations inside inlined code. This is not an inherent limitation, and we might add it in the future, but so far we have avoided the implementation complexity.

Context Dispatch. Deoptless continuations are compiled under an optimization context, which captures the conditions for which it is correct to invoke the continuation. The context is shown in Listing 7 in full. It contains the deoptimization target, the reason, the types of values on the operand stack, and the types and names of bindings in the environment. The deoptimization reason represents the kind of guard that failed, as well as an abstract representation of the offending value. For instance, if a type guard failed, then it contains the actual type, if a speculative inlining fails, it contains the actual call target, and so on.

The (de-)optimization context is used to compile a continuation from native to native code, so why does it contain the `Opcode* pc` field, referring to the bytecode instead? Let’s reexamine Figure 5. The state is extracted from native code and directly translated into a target native state. However, logically, what connects these two states is the related source state. For instance, the bytecode program counter is used

```

struct DeoptContext {
  Opcode* pc;
  Reason reason;
  unsigned short stackSize;
  unsigned short envSize;
  Type stack[MAX_STACK];
  tuple<Name, Type> env[MAX_ENV];
  bool operator<= (DeoptContext& other);
};

```

Listing 7. Deoptless optimization context

as an entry point for the \tilde{R} compiler. The bytecode state is never materialized, but it bridges the origin and target native states on both ends of deoptless.

Contexts are partially ordered by the \leq relation. The relation is defined such that we can call a continuation with a bigger context from a smaller current context. In other words, the `dispatch` function from Listing 6 simply scans the increasingly sorted dispatch table of continuations for the first one with a context `ctx'` such that `ctx <= ctx'`, where `ctx` is the current context. The dispatch tables uses a linearization of this partial order. The linearization currently does not favor a particular context, should multiple optimal ones exist. For efficiency of the comparison and dispatching, we limit the maximum number of elements on the stack to 16 and environment sizes to 32 (states with bigger contexts are skipped), and only allow up to 5 continuations in the dispatch table.

Compilation and Calling Convention. Compilation of deoptless continuations is performed by the normal \tilde{R} optimizer using the same basic facilities as are used for OSR-in. Additionally, information from the `DeoptContext` is used to specialize the code further. For instance, the types of values on the operand stack can be assumed stable by the optimizer, since context dispatch ensures only compatible continuations are invoked. The calling convention is such that the R environment does not have to be materialized. The local R variables, which are described by `FrameState` and `MkEnv` instructions at the deoptimization exit point, are passed in a buffer struct.

Incomplete Profile Data. An interesting issue we encountered is incomplete type-feedback. As depicted in Figure 1, normally after a deoptimization event, the execution proceeds in the lower-tier, e.g., in the interpreter, which is also responsible for capturing run-time profile data, such as type-feedback, branch frequencies, call targets, and so on. When an assumption fails, this typically indicates that some of this profile was incomplete or incorrect and more data is needed. In deoptless we can't collect more data before recompiling, therefore we lack the updated feedback. If we were to compile the continuation with the stale feedback data, most probably we would end up mis-speculating. For instance if a typecheck of a particular variable fails, then the

type-feedback for operations involving that variable is probably wrong too. We address this problem with an additional profile data cleanup and inference pass.

The cleanup consists of marking all feedback that is connected to the program location of the deoptimization reason, or dependent on such a location, as stale. Additionally we check all the feedback against the current run-time state and mark all feedback that is contradicting the actual types. Additionally, we insert available information from the deoptimization context. For instance, if we deoptimize due to a typecheck, then this step injects the actual type of the value that caused the guard to fail. Finally we use an inference pass on the non-stale feedback to fill in the blanks. For inference we reuse the static type inference pass of \tilde{R} , but run it on the type feedback instead and use the result to update the expected type. These heuristics work quite well for the evaluation in the next section, however, it is possible that stale feedback is still present and causes us to mis-speculate in the deoptless continuation, which leads to the function being deoptimized for good.

Transferability. The description of deoptless focused on our current implementation for concreteness. However, the technique generalizes and any language implementation using speculative optimizations and deoptimization could employ it. The only requirement is sufficiently efficient OSR-out and -in support. To bridge the two, there needs to be some efficient way of converting the extracted state of the OSR-out to match the calling convention of the OSR-in fragment. For dispatching, many options are conceivable. We recommend to at least specialize on the types of the variables captured by the deoptimization metadata.

5 Evaluation

Let us now turn to the question of how well deoptless works with respect to our stated goals. Our aim is to

1. reduce both the frequency and amplitude of the temporary slowdowns due to deoptimizations, and
2. prevent the long-term over-generalization of code due to deoptimization and recompilation.

Following these stated goals, we try to answer the following questions: (1) Given the same deoptimization triggering events, what is the speedup of using deoptless? (2) Is deoptless able to prevent over-generalization?

The nature of deoptless makes it challenging to answer these questions as the events we are trying to alleviate are by definition rare. In particular the code produced by \tilde{R} is not going to cause many deoptimizations in known benchmark suites. Therefore, we decided to perform our main evaluation of deoptless on the worst-case situation, where we randomly fail speculations. Secondly, we will evaluate deoptless on bigger programs, with known deoptimizations, due to the nature of their computations.

Methodology. Experiments are run on a dedicated benchmark machine, with all background tasks disabled. The system features an Intel i7-6700K CPU, stepping 3, microcode 0xea with 4 cores and 8 threads, 32 GB of RAM and Ubuntu 18.04 on a 4.15.0-151 Linux kernel. Experiments are built as Ubuntu 20.04.1 based containers, and executed on the Docker runtime 20.10.7, build f0df350.¹ Measurements are recorded repeatedly and we keep a historical record to spot unstable behavior. For some of the experiments we use the major benchmarks from the \check{R} benchmark suite [12].

5.1 Speedup over Deoptimization

First we want to evaluate the performance gains of deoptless from avoiding deoptimization alone. To that end we take the default \check{R} main benchmark suite and randomly invalidate 1 out of 10k assumptions. To be precise, we only trigger deoptimization without actually affecting the guarded fact. This is achieved by instrumenting the compiler to add a random trigger to every run-time check of an assumption. This is an already existing feature of \check{R} used in development to test the deoptimization implementation. Enabling this mode causes a large slowdown of the whole benchmark suite. We then measure how much of that slowdown can be recovered with deoptless. Note that this is a worst-case scenario that does not evaluate the additional specialization provided by deoptless, as the triggered deoptimizations largely correspond to assumptions that in fact still hold. We run this experiment with 30 in-process iterations times 3 executions. The results are presented in Figure 6. The large dots in the graph show the speedup of deoptless over the baseline on a log scale on average. Improvements range from $1\times$ to $9.1\times$, with most benchmarks gaining by more than $1.9\times$. The small dots represent in-process iterations from left to right, averaged over all executions. We exclude the first 5 warmup iterations, as they add more noise and only slightly affect the averages. Normalization is done for every dot individually against the same iteration number without deoptless. From the main benchmark suite we had to exclude the `nbody_naive` benchmark, as it takes over one hour to run in the deoptimization triggering test mode. Though, we would like to add, that with deoptless this time is cut down to less than five minutes. Overall this experiment shows that deoptless is significantly faster than falling back to the interpreter for the \check{R} benchmark suite.

Memory Usage. Deoptless causes more code to be compiled, which can lead to more memory being used. The R language is memory hungry due to its value semantic, and running more optimized code leads to fewer allocations. Thus we expect deoptless to not use more memory overall. In this worst-case experiment with randomly failing assumptions we measured a median decrease of 4% in the maximum resident set size. There is one outlier increase in `flexclust` by 45%

¹We use a containerized environment to automate measurements and verified that it does not distort the results.

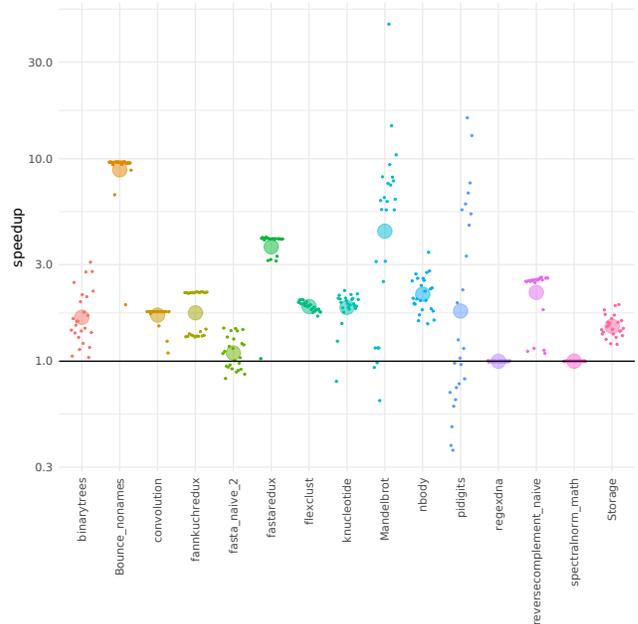


Figure 6. Deoptless speedup on mis-speculation (log scale)

and several decreases, the largest being 22% in `fannkuchredux`. The trade-off could be different for other languages or implementations. However, the overhead can always be limited by the maximum number of deoptless continuations.

5.2 Benchmarks

In the following we investigate the effects of deoptless on a selection of benchmarks with known deoptimization events.

Volcano. Deoptimizations can happen when user interaction leads to events which are not predictable. To demonstrate the effect we package a ray-tracing implementation [21] as a shiny app [7] shown in Figure 7. It allows the user to select properties, such as the sun’s position, selecting the functions for numerical computations and so on. The app renders a picture using `ggplot2` [30] and the aforementioned ray-tracer with a height-map of a volcano. At the core of the computation is a loop nest which incrementally updates the pixels in the image, by computing the angle at which rays intersect the terrain. We record two identical sessions of a user clicking on different features in the app. We then measure for each interaction how long the application takes to compute and render the picture. In Figure 8 we show the relative speedup of deoptless for that interactive session, separate for the ray-tracing and the rendering step. The application exhibits deoptimization events when the user chooses a different numerical interpolation function. Deoptless results in up to $2\times$ faster computations for these particular iterations. In general deoptless always computes faster, except for one warmup iteration with a longer compile pause. The produced

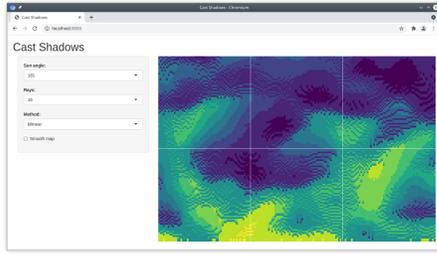


Figure 7. Volcano rendering shiny app

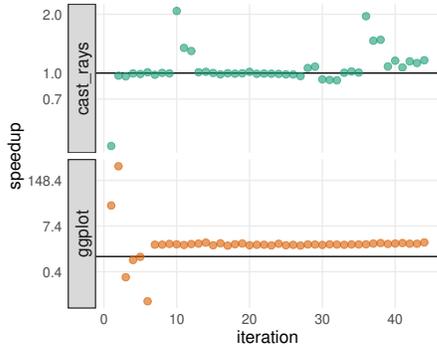


Figure 8. Volcano app speedup (log scale)

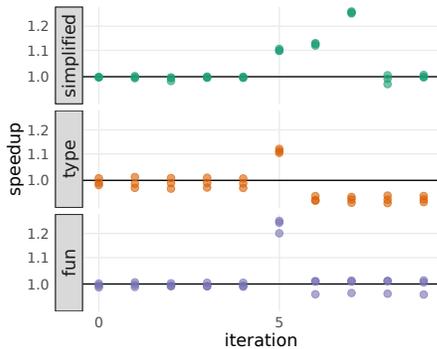


Figure 9. Ray-tracings with deoptimization at iteration 5

image is then rendered by ggplot where we see deoptless' ability to prevent over-generalization. The code consistently runs about 2.5× faster after warmup.

We also investigate other kinds of deoptimizations in just the ray-tracer in isolation in Figure 9. Each experiment is run 3 times, with 10 iterations and a phase change at iteration 5. In the first two graphs we changed the type of the height map, in the last one the numerical interpolation. We observe that deoptless consistently alleviates the slowdown caused by deoptimization. The first benchmark is simplified and we manually inlined a numerical computation. In the full version, changing the type of the height-map produces slightly slower code in the long run, due to missed

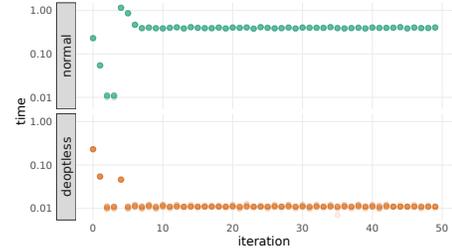


Figure 10. Column wise sum over a table (log scale)

```
f <- function(colIndex, t) {
  dataCol <- t[[colIndex]]
  res <- 0
  for (i in 1:length(dataCol))
    res <- res + dataCol[[i]]
  res
}
columnwiseSum <- function(t) {
  res <- c()
  for (i in 1L:cols) res[[i]] <- f(i, t)
  res
}
```

Listing 8. Column-wise sum of a table

optimization opportunities in the continuation, given that our implementation is still work in progress.

Colsum. To show how much of an effect deoptless can have in real-world situations, we investigate the following function in Listing 8 to summarize the columns of a table. We run the benchmark on 50 columns and 10^7 rows each, consisting of alternating floating-point and integer columns. We run and record the run times of f over 10 executions and compare the performance behavior with and without deoptless as shown in Figure 10. In the normal case, the first two iterations include warmup time spent in the interpreter. The peak performance reached here is 0.011 seconds. Then, the fifth iteration corresponds to a float column; a deoptimization is triggered and control is yielded back to the interpreter where new type feedback is collected while the function completes. In the deoptless case we see only a temporary slowdown for compiling the continuation of 0.045 seconds. Considering the stable iterations, deoptless shows a significant 35× performance improvement over baseline.

Versus Profile-Driven Reoptimization. Finally, we compare the performance profile of deoptless with a profile-driven reoptimization strategy for R [14]. The corresponding paper contributes three benchmarks which exhibit problematic cases for dynamically optimizing compilers. First, a microbenchmark for stale type-feedback. Then, an RSA implementation, where a key parameter changes its type, triggering a deoptimization and a subsequent more generic

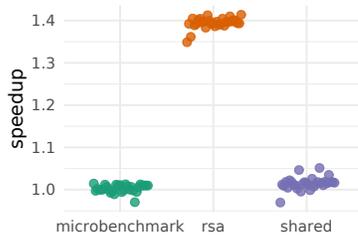


Figure 11. Speedup on reoptimization benchmarks

reoptimization. Finally, a benchmark where a function is shared by multiple callers and thus merges unrelated type-feedback. For the three benchmarks they report on speedups of up to 1.2 \times , 1.4 \times , and 1.5 \times respectively. For deoptless, we expect to improve only on RSA. In the other two cases the phase change is not accompanied by a deoptimization, therefore there is no chance for deoptless to improve performance. We ran these benchmarks against our deoptless implementation with 3 invocations and 30 iterations; [Figure 11](#) presents the results. Each dot represents the relative speedup of deoptless, for one iteration of the benchmark each. As expected, the microbenchmark and the shared function benchmark are unchanged. The RSA benchmark is sped up by the same amount as in the best case of profile-driven recompilation.

6 Conclusion

Speculative optimizations are key for the performance of just-in-time compilers. They are typically realized using on-stack replacement (OSR) to swap invalid optimized functions with unoptimized ones on the fly. In this paper we present a way of dealing with failing speculations that does not tier down, *i.e.*, does not have to continue in a slower tier. Instead, we bail out of the failed speculation into optimized code. Furthermore, we use OSR exit points as dispatch points to support multiple specialized continuations. Thus, instead of having functions become gradually more and more generic on every deoptimization, we take this opportunity for splitting and compile functions which become more and more specialized. We present a proof of concept implementation for \tilde{R} , an optimizing compiler for the R language. Our preliminary evaluation shows the big potential of the technique. When presented with randomly failing assumptions, deoptless is able to execute benchmarks up to 9.1 \times faster than with normal deoptimization, with most benchmarks being at least 1.9 \times faster and none slower. We also show that deoptless can improve the peak performance in a number of programs.

As with every forward escape strategy, there is a danger of committing follow-up mistakes. Deoptless struggles with cases where it is hard to infer from the failing speculation how the remainder of the function will be affected, before actually running it. We approach this problem by incorporating information from the current state of the execution at the

OSR exit point. Additionally, we use type-inference on the type-feedback to override stale profile data. Our evaluation shows that this strategy is robust and able to produce good code for the continuations.

An interesting avenue for future work would be to try and recombine continuations into one function again. The information from the contexts could be used to recompile and thus get rid of dispatching, as well as code-size overhead, by fusing everything into one optimized function.

In conclusion, when it comes to speculative optimizations, every mistake is an opportunity to learn something new. This is certainly true but not helpful, as users do not wish to wait for their program to learn. For a contemporary approach, instead of taking a step back and re-analyzing the situation, we show how to immediately correct our mistakes on the fly, pretend they never happened, and get away with it.

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